



## 7. GREEN AWARD REGULATIONS

### Article 1 Norms

The Certification system of the Green Award Foundation is based on the norms stated below.

<b>NEN-EN-ISO 9000:2015</b>	Quality management systems; fundamentals and vocabulary
<b>NEN-EN ISO/IEC 17021:2015</b>	Conformity assessment - Requirements for bodies providing audit and certification of management systems
<b>NEN-EN-ISO 9001:2015</b>	Quality management systems; requirements
<b>NEN-EN-ISO 19011:2011</b>	Guidelines for auditing quality and/or environmental management systems

### Article 2 Definition of terms

Terms used in these regulations are defined as follows:

<b>Applicant</b>	The shipowner (or his authorized representative or manager) who is applying for an audit/survey within the context of the Green Award scheme.
<b>Application</b>	The form whereby the applicant applies for the office procedures audit and ship survey. When approved and signed by the Applicant, the Application binds the Applicant to comply with the Green Award Regulations and Requirements as a condition of receiving the Green Award certificate.
<b>Audit/survey agenda</b>	Overview of activities and time schedule concerning the execution of the office procedures audit or ship survey by (or on behalf of) the Bureau, drawn up in consultation with the applicant.
<b>BoA</b>	The Board of Appeal.
<b>BoE</b>	The Board of Experts.
<b>Bureau Green Award</b>	(Hereafter Bureau) The executive body of the Green Award Foundation.
<b>Certificate</b>	Document which states that the company and its ship(s) comply with the Green Award Requirements and Green Award Regulations.
<b>Certificate Holder</b>	Shipowner (or his authorized representative or manager) who was awarded the Green Award Certificate.
<b>Committee</b>	The General Committee of the Green Award Foundation.



<b>Company</b>	The owner of the ship or any organisation responsible for the ship such as the manager who has assumed the responsibility for operation of the ship from the shipowner.
<b>Endorsement</b>	A form which is attached to the GA Ship Certificate and indicates that the annual survey has been carried out and that the ship remains certified.
<b>Foundation</b>	The Green Award Foundation.
<b>GA</b>	Green Award
<b>Green Award Logo</b>	The logo which the GA certificate holder is permitted to use. The logo may only be used in connection with the office and the ship to which a certificate appertains or for general purposes in combination with an approved statement by the Green Award Foundation.
<b>GA Office Certificate</b>	A Certificate, which states that the Office of the Owner/Manager meets the GA Requirements concerning office procedures. A GA Office Certificate is only issued in combination with a GA Ship Certificate.
<b>GA Ship Certificate</b>	A Certificate, which states that the ship of the Owner/Manager meets the GA requirements. A GA Ship Certificate is only issued in combination with a GA Office Certificate.
<b>Green Award Requirements</b>	The requirements as listed in Annex 3 comprising the criteria on the basis of which ships may be considered for a certificate.
<b>Initial Review</b>	The desk survey carried out on the basis of documents, certificates, ship's drawings and Port State Control status reports, to determine whether the ship complies with the basic criteria of the Green Award requirements.
<b>Improvement Note (IN)</b>	A note relating to the office audit or ship survey carried out according to the Green Award Ranking requirements, which states those element/s for which the minimum required score is not achieved and the suggested improvements for those element/s.
<b>Incident`</b>	Collision, allision, grounding, loss of steering, propulsion or power, unauthorised overboard discharge, release of harmful gas or leakage, or any other event whatsoever which may have a negative impact on the safety of the vessel, the crew, the cargo and/or the environment.
<b>Manager</b>	An organisation which has assumed the responsibility for operation of the ship from the shipowner
<b>Major Non-conformity (MNC)</b>	Determination of an objective, identifiable finding, where objective evidence indicates a <b>serious</b> threat to the safety of ship and crew, or the environment or the management system.



<b>Non-conformity (NC)</b>	Determination of an objective, identifiable finding where objective evidence indicates a <b>possible</b> threat to the safety of ship and crew, or the environment or the management system.
<b>Observation (Obs)</b>	Determination of a statement of fact where objective evidence <b>does not indicate a direct serious threat</b> to the safety of ship and crew, or the environment or the management system.
<b>Office Procedures Audit</b>	Hereafter Office Audit. A review to determine whether the office policies and procedures comply with the Green Award Requirements. The office audit takes place at the company's office.
<b>Office Audit Note</b>	A document which states the description and corrective measures concerning a finding (MNC, NC, Obs or Improvement Note)
<b>Office Audit Report</b>	A document consisting of the general office audit report, office audit note/s which state the finding/s (MNC, NC, Obs or Improvement Note) and the auditor's verification checklists of the basic criteria and the ranking criteria which includes the ranking score.
<b>Seacure for Operations</b>	A document which gives information about the Green Award certification system, requirements, and regulations including information for shipowners, managers and ship personnel in order to prepare them for the office audit and ship survey.
<b>Ship</b>	Any vessel in use or suitable for use as a means of transport on water, and which is used for the carriage of cargo by sea, or which is constructed for the purpose of the carriage of cargo by sea or is furnished with a document - issued by the relevant authority of the country where the ship is registered - which shows that it is suitable for the carriage of cargo by sea.
<b>Shipowner</b>	The person or organisation having the right of ownership of a ship.
<b>Ship Survey</b>	An initial, annual, intermediate or renewal survey of the ship with regard to sufficient compliance with the Green Award requirements. The survey takes place on board.
<b>Ship Survey Note</b>	A document which states the description and corrective measures concerning a finding/s (MNC, NC, Obs or Improvement Note).
<b>Ship Survey Report</b>	A document consisting of the general ship survey report, ship survey note/s which state the finding/s (MNC, NC, Obs or Improvement Note) of the ship survey and the surveyor's verification checklists of the basic criteria and the ranking criteria which includes the ranking score.
<b>Visual Inspection</b>	A visual inspection which is part of the ship survey and will be carried out by the Green Award surveyor or representative to determine the general condition of the ship.



## **Article 3      General**

### **3.1**

These regulations may be referred to as the "Green Award Regulations".

### **3.2**

The Green Award Regulations, together with the attached annexes establish the Green Award certification system.

### **3.3**

The Green Award Requirements form the basis for the granting of the Green Award Certificate. The certification criteria are determined by the Committee of the Foundation following consultation with the Board of Experts.

### **3.4**

The detailed Green Award requirements consist of the following parts; basic criteria, ranking criteria and visual criteria as defined in the most recent version of the "Seacure for Operations" and its annexes.

### **3.5**

The requirements on the basis of which a certificate is issued will remain in force throughout the validity of that certificate.

## **Article 4      The Bureau**

### **4.1**

Bureau Green Award is the executive body of the Green Award Foundation.

### **4.2**

The Bureau will issue the Green Award Certificate to the shipowner/manager after an audit of the office and survey of the ship(s) confirms that the Green Award Regulations and Requirements are complied with.

### **4.3**

The Bureau is responsible for the office audit and the ship survey.

### **4.4**

The Bureau retains all information concerning offices and ships for which a certificate has been issued, together with information concerning offices and ships for which an application for certification has been made.

### **4.5**

The Bureau will publish annually a complete list of certified ships and their corresponding certificate holders.

### **4.6**

The Bureau is responsible for providing information to third parties. At minimum, this will concern information relating to Green Award, the composition of the Committee, the Board of Experts, the Board of Appeal and a list of all certificate holders who own or manage a ship for which valid certificates have been issued, stating the offices of the owner/manager, their certified ship(s), the date of certification and certificate number.



## 4.7

The Bureau will provide an applicant with the following information:

- An application form for the ship to be considered for the Green Award certification, accompanied by explanatory notes;
- The “Seacure for Operations”, a proprietary document, which contains the Green Award Regulations and Green Award Requirements.

## 4.8

The Bureau will inform the applicant as soon as possible of decisions concerning the processing of the application for a certificate.

## **Article 5      The Board of Experts**

### 5.1

The Board of Experts (BoE) has been appointed by the Committee of the Green Award Foundation.

### 5.2

The Board of Experts will advise the Committee of the Foundation, on request or independently. The Committee is to be advised by the BoE concerning the following:

- The Green Award Regulations;
- The nature and content of the certification system;
- The Green Award requirements and the survey methods on which the certification system is based;
- The frequency of audits and surveys which should be carried out;
- Regulations for the use of a certificate and/or logo;
- All relevant regulations and developments pertaining to certification to be included in the Green Award requirements.

## **Article 6      Application Process**

### 6.1

The applicant will provide the following to the Bureau for the initial review:

- An application form signed by the applicant;
- Copies of certificates and records as stipulated on the application form;
- Technical drawings of the ship as stipulated on the application form.

### 6.2

If the information and documentation is incomplete, the Bureau may request the applicant to provide additional information.

### 6.3

The Application for the Green Award certificate has to be signed by the applicant whereby he confirms his understanding and agreement with the terms of the Green Award Regulations and Requirements as stated in the most recent version of the “Seacure for Operations”.



### 6.4

The Bureau will have the right to obtain information from a third party concerning both the condition of the ship and the conduct of the crew for a period of 2 years preceding the date of application. The application will only be considered if this information shows that during this period the ship:

- has not been detained under the terms of Port State Control Authorities insofar as relevant to Green Award certification
- Has not been involved in environmental or relevant safety violations.

### 6.5

The certification process will consist of an initial review, an office audit and a ship survey. The initial review, the office audit and ship survey will not be carried out until the payment of the Office Audit Fee and the Application Fee for each ship has been made.

### 6.6

The initial review will consist of a desk survey carried out on the basis of documents, certificates, ship's drawings and Port State Control status reports, to determine whether the ship complies with the basic criteria of the Green Award of requirements. The office audit and a ship survey will consist of examining whether the office and shore-based management and the ship, shipboard management and crew comply with the Green Award Requirements.

### 6.7

If the review process is delayed the applicant will be informed of this fact. If this delay is caused by the applicant, including not providing the Bureau with timely, complete and accurate information concerning the ship and its use when applying for or renewing the Certificate, the Bureau retains the right to terminate the review. In this case, the payment already made for the Office Audit Fee and Application Fee will not be refunded.

### 6.8

The ship survey will take place only after the initial review and the office audit meet the Green Award Requirements.

### 6.9

The applicant may withdraw his application at any time during the audit or survey. The payment of the Office Audit fee and the Application Fee will not be refunded.

## **Article 7      Obligations of the Applicant**

### 7.1

An applicant is not permitted to associate or identify or utilize in any fashion the name "Green Award" with the office and ship(s) for which he has submitted an application until a Green Award certificate has been issued. Failure to comply with this condition will result in immediate termination of the certification process, without refund of fees paid and may result in further legal sanctions.

### 7.2

When applying for or renewing the Green Award Certificate, the shipowner must provide the Bureau with complete and accurate information concerning the ship and its utilization. The information and documents should be provided in a timely fashion and must be sufficient to carry out the office audit and ship survey in order to determine whether the office and ship comply with Green Award Requirements.

### 7.3

All information referred to in Article 7.2 provided by the applicant will remain in the possession of the Bureau for at least the period of validity of a certificate.



### 7.4

The applicant will co-operate in every way necessary with the office audit and ship survey and will give employees of the Bureau (or persons carrying out the office audit or ship survey on behalf of the Bureau) access to company's office to be audited or the ship to be surveyed. All information relevant to the application or supplementary information requested later by the Bureau, should be sent by the applicant within a period of six weeks of the application and/or the request.

### 7.5

If an incident occurs involving a ship certified by Green Award, after the initial response to the incident is finalised, the ship manager is obliged to promptly notify the Green Award Bureau.

### 7.6

As soon as practicable, the ship manager is to notify the Green Award Bureau of the relevant details and consequences of the incident and any corrective measures taken. All information received by the Bureau which is related to the incident will remain strictly confidential except where required by law. The collected data may be used in anonymous statistical analysis relating to the type of incident and frequency for further improvement and development of the Green Award certification scheme.

## **Article 8      The Initial Office Audit and Ship Survey**

### 8.1

The initial review will commence within 30 days of receipt of the sum payable, unless otherwise agreed in consultation with the applicant.

### 8.2

Insofar as the initial review includes documents and certificates, these will only be accepted if a Flag State has issued them or if they are issued by a Classification Society as authorised by the Flag State. This Classification Society should be a member of the International Association of Classification Societies (IACS) and be certified in accordance with the IACS/Quality System Certification Scheme.

### 8.3

The initial review normally will last a maximum of six weeks.

### 8.4

In case of non-compliance concerning the required documents mentioned in the application form, the applicant will be informed in writing within 30 days.

### 8.5

The office audit will be carried out at the office of the company who has assumed the responsibility for operation of the ship from the shipowner.

### 8.6

The Bureau will draw up an audit agenda concerning the office audit in consultation with the shipowner/manager and a survey agenda concerning the ship survey in consultation with the master of the ship. The office audit will be conducted within 6 months of receipt of the application unless determined otherwise in consultation with the applicant. The applicant is obliged to make the ship available for survey within six months after an office certificate is issued.

### 8.7

The office audit and ship survey will take place to the extent possible during normal activities as agreed in consultation with the applicant. The certificate holder is obliged to give the necessary assistance during an audit, survey or check.



8.8

If the office audit or ship survey requires it, the Bureau will make an interim report for the applicant. If this report concludes that the procedure cannot reasonably be expected to lead to a positive final result, the processing of the application may be terminated by mutual agreement. In such a case there will be no refund of fees already paid.

8.9

The Bureau will forward the office audit and ship survey results to the applicant, drawn up in English, within one month of completion of the respective surveys.

8.10

The applicant may submit written comments to the Bureau within 30 days after the receipt of the office audit and ship survey results.

8.11

The Bureau will evaluate and reply to the comments from the applicant within 30 days.

## **Article 9 The Outcome of the Office Audit and the Ship Survey**

9.1

The Bureau assesses the office audit results and ship survey results in accordance with the Green Award certification system and assessment criteria.

9.2

On the basis of the assessment, the Bureau determines whether the office and the ship will be refused or granted a Green Award Office Certificate and a Green Award Ship Certificate.

9.3

If an application has been refused or the processing of an application has been terminated by mutual agreement, the Bureau will not accept a new application for the same ship unless the applicant has shown that adequate measures have been taken to improve the elements on the grounds of which his earlier application was refused, or the reasons which led to the premature termination of the application process have ceased to exist.

## **Article 10 Certificates**

10.1

The Green Award certificate will be granted as evidence that the office and the ship comply with the Green Award Regulations and Requirements.

10.2

The Green Award certificate will be valid for a period of three years except for the provisions in Article 12.1, Article 13.3, Article 16.4, Article 16.6 and Article 16.7. The date on which a certificate takes effect will be the date on which a certificate has been issued by the Certification Department of the Bureau.

10.3

The Green Award Certificate will not replace any certificate or document required by virtue of national or international regulations or conventions.





## **Article 11 Annual Ship Surveys**

### 11.1

Throughout the period of validity of a GA ship certificate, the Bureau will carry out ship surveys at least once a year unless otherwise agreed. The annual survey will normally be carried out during the period of three months prior to and three months following the anniversary date on which the certificate was issued. The survey will be carried out either by the Bureau or on its behalf by an authorised party.

### 11.2

The certificate holder and the ship's crew will be obliged to co-operate with the implementation of this survey and to make mutually satisfactory arrangements for the ship surveys within the above mentioned periods.

### 11.3

If the ship meets the Green Award requirements, the surveyor assigned by the Bureau will complete the endorsement to the certificate.

### 11.4

If the ship does not meet the Green Award requirements, the Bureau will inform the certificate holder in writing. If the results of a ship survey require an additional office audit, the shipowner will be informed immediately.

### 11.5

The Bureau is entitled to carry out an interim survey if the ship changes name, flag or classification society.

## **Article 12 Certificate Renewal - After Three Years**

### 12.1

Within six months prior to the expiry of a certificate, the Bureau will carry out an office audit review at the company's office and a ship survey review. The certificate holder must request these procedures. When a new certificate is issued, the previous certificate will no longer be valid.

### 12.2

If an office audit renewal review or ship survey renewal review is underway, the validity of the previous certificate may be prolonged by the Bureau for a maximum of three months.

### 12.3

After the initial certification period of three years, the Bureau may agree to carry out one intermediate survey instead of two annual surveys (for oil tankers only). This decision will be based on ship performance data obtained from industry-recognised inspection systems and compliance with the defined score on the Green Award Ranking requirements as laid down in the Green Award Assessment Criteria. This intermediate survey will normally be carried out one and a half years after the date of issue of the certificate and within a period of three months before, and three months after, this date. Payment of the annual fee will not be affected.



### Article 13 Measures to Rectify Shortcomings, and Sanctions

#### 13.1

If shortcomings are established concerning adherence to the Green Award regulations and requirements by the Certificate Holder, the Bureau can take one of the following measures, depending on the seriousness of the shortcomings:

- Issue a written warning;
- Arrange an extra visit(s) to audit the office or survey the ship at the expense of the applicant, including the necessary travel and accommodation costs;
- Suspend the right to use the certificate and logo for a specific period of time;
- Allow the certificate holder a period of time specified by the Bureau to take corrective measures

#### 13.2

The Bureau has the authority to verify whether adequate corrective measures have been taken at the expense of the applicant, including the necessary travel and accommodation costs.

#### 13.3

If the certificate holder fails to take adequate corrective measures during the specified period, the Bureau will withdraw the certificate.

#### 13.4

After formal notice by the Bureau of withdrawal of a certificate, the company is obliged within 10 working days to return the Green Award Ship Certificate, the Office Certificate, the plaque and flag and to cease and desist utilizing any and all references to the Green Award certificates or logo.

#### 13.5

If the company fails to fully comply with the cease and desist provisions in the above-mentioned Article 13.4, the Bureau reserves the right to take legal action for breach of contract and consequent damages.

### Article 14 Fees

#### 14.1

Application Fee:

As per the current tariff schedule.

This fee includes costs in the initial review and initial ship survey and the right to use the logo after the Green Award certificate has been granted.

#### 14.2

Office Audit Fee:

As per the current tariff schedule.

#### 14.3

Annual fees:

Every successive year after the starting date on which a certificate is issued, the certificate holder will pay an Annual Fee (as per the current tariff schedule) for the right to use the logo. The cost of annual ship surveys is included in this fee.



14.4

Certificate Renewal:

The fee for the renewal of the Office Certificate will be charged on the basis of the Office Audit Fee. The fee for the renewal of the Ship Certificate will be charged on the basis of the Annual Fee on the condition that certification of the ship is uninterrupted.

14.5

All travel and accommodation costs concerning the Office Audit(s) and the Ship Survey(s) are to the customers account.

14.6

If shortcomings are established in the performance of the Certificate Holder in relation to the Green Award Regulations and Requirements and a further audit/survey is required, costs will be to the applicant's account.

### **Article 15 Terms of payment**

15.1

All fees for the application review and initial audits are payable in advance.

15.2

Invoices, including those for costs of travel and accommodation are payable within 30 days.

15.3

Annual fee for the ships (including fees for the renewal of the Ship Certificate) will be invoiced in the month of certification.

15.4

The fee for the renewal of the Office Certificate will be invoiced after the audit is conducted.

15.5

Refunds:

No refunds will be payable to the applicant for invalidation or cancellation of a certificate by the Bureau.

### **Article 16 Withdrawal of Certification**

16.1

The certificate holder is responsible for managing the office and maintaining and managing the ship in such a way that during the period of validity of a certificate the certified items in the Green Award Requirements and the procedures and regulations in question are constantly fulfilled. The Bureau is entitled to confirm the observance of the obligations by the certificate holder in accordance with the provisions laid down in the Green Award Regulations.

16.2

The certificate holder must immediately report any deviation from the items listed in the office audit results or ship survey results to the Bureau.

16.3

All changes in the organisation, management and/or ownership of a company and/or ship must be immediately reported to the Bureau.



### 16.4

A certificate can be (temporarily-) withdrawn by the Bureau with immediate or retroactive effect (without legal intervention) if:

- the Bureau is made aware of facts whereby, had these facts been known at the time of the survey and office audit, a certificate would not have been issued;
- the certificate holder fails to fulfil any of the obligations arising from the Green Award Regulations and Requirements after being declared in default about his failure by a registered letter and had not remedied the failed situation within 14 days after the receipt of the registered letter;
- the applicant has not fulfilled his payment obligations;
- during an interim annual survey, it appears that the ship no longer fulfils the list of requirements;
- it has been shown by documented evidence that the ship has illegally discharged waste substances either at sea or in port;
- it has been shown that the ship has caused an accidental discharge and that the ship did not comply with GA requirements at the time;
- the annual survey is not carried out in the period as per the required schedule;
- the certified ship has been involved in an incident whose cause relates to improper practice or relevant un-addressed non conformity.

### 16.5

The Bureau will inform the certificate holder of the certificate withdrawal and the reasons for the withdrawal in writing with regards to the above-mentioned article 16.4.

### 16.6

The Green Award **Ship** certificate will immediately become invalid without legal notice if:

- Name change of owner/manager or ship is not reported to the Bureau;
- The ship changes owner or manager;
- The certificate holder/shipowner is declared bankrupt;
- The ship is scrapped or disposed of in some other way;
- The ship is no longer classified by a classification society referred to in Article 8.2;
- The certificate holder discontinues the certification;
- The certificate holder is not current in his payments.

### 16.7

A Green Award **Office** Certificate will no longer be valid if within one year after the withdrawal of a certificate holder's last certified ship, no new applications for ship certification are submitted.

## Article 17 Confidentiality

### 17.1

The Bureau will be obliged to keep confidential all information concerning third parties which it receives as a result of processing applications and granting certificates except where required by law. This does not apply to information on certificate holders, the offices of the owner/managers, their certified ship(s), the date of certification and certificate number, which is provided to third parties (such as port authorities) for reasons of benefit to the certificate holder.

### 17.2

The Bureau will be obliged to take all necessary measures to ensure that the Foundation and its staff members maintain confidentiality about all information which has come to their knowledge as a result of the certification process.

### 17.3

All certified Bureau Auditors and Surveyors will be required to sign a declaration in which the observance of secrecy and impartiality is a fundamental contractual obligation.



## **Article 18    Publicity**

18.1

The certificate holder is authorized to utilize the Green Award logo while the Green Award Certificate is valid.

18.2

The certificate holder is bound, upon written notification by the Bureau, to withdraw or rectify to the satisfaction of the Bureau any potentially misleading information with regard to the Green Award certificate or the Bureau, which could have a negative effect on the Green Award Foundation.

18.3

During the validity of the Green Award certificate, the Bureau grants the certificate holder the right to publish the certificate, the office audit report and ship survey report as drawn up by Bureau.

## **Article 19    Complaints concerning non-fulfilment of GA Requirements**

19.1

If a complaint from a third party is submitted to the Bureau in writing, concerning non-fulfilment by a certified ship of the GA certified items, the Bureau will inform the relevant certificate holder of the receipt of the complaint. An investigation will be carried out by or on behalf of the Bureau.

19.2

If a written complaint is submitted to the certificate holder, he will inform the Bureau of the complaint. The certificate holder is obliged to carry out a proper investigation to verify or refute the complaint.

19.3

The investigations should be carried out within a reasonable period of time and the results of the investigations are to be made known to both the Bureau and the party submitting the complaint. If the complaint is found to be partially or fully legitimate, Article 16.4 and Article 16.6 or Article 13 will apply.

19.4

If the complaint submitted to the Bureau proves to be unfounded, the costs of the investigation may be at the expense of the party submitting the complaint. The Bureau may, for reasons of fairness, waive these costs completely or partially. If the complaint is found to be legitimate, the costs of the investigation will be at the expense of the certificate holder.

19.5

Both the Bureau and the certificate holder will be obliged to register complaints received. The settlement of these complaints should also be registered and, if it concerns a legitimate complaint, the certificate holder should also take the necessary measures to prevent re-occurrence.

19.6

If the above-mentioned investigations indicate that the complaint may be attributed to the wrongful certification of a ship or certification on the grounds of an assessment based on inadequate requirements, the Bureau will take immediate corrective measures.

## **Article 20    Applicable law**

20.1

Dutch law will apply to any disputes between the applicant and the Bureau.

20.2

The Court of Rotterdam is to be regarded the competent authority.



## **Article 21    Appeal**

### 21.1

The applicant or certificate holder can lodge an appeal against any decision taken by the Bureau within a period of 30 days after he is informed of the decision. The appeal procedure is described in the "Board of Appeal Regulations" published in the most recent version of the "Seacure for Operations".

## **Article 22    Liability**

### 22.1

Neither the Green Award Foundation nor the Bureau, nor those contracted by the Foundation or the Bureau are liable to the certificate holder for damages connected with the certification process or the termination of the validity of the Green Award certificate.

### 22.2

The certificate holder shall indemnify the Green Award Foundation and the Bureau and those contracted by the Foundation and/or the Bureau against any claims for damages from third parties in relation to the application of the certification process, or the withdrawal or the granting of a Green Award certificate.

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## Annex 1 Categories of Ships to which the Green Award Regulations apply

### OIL TANKERS from 2,000 ton dwt and above

Definition of Oil Tankers:

- 1) A ship classed by an IACS member as “Oil Tanker” which is constructed primarily to carry oil in its cargo spaces. Excluded from this are ships designed and constructed as Oil Bulk Ore Carrier (OBO).
- 2) A ship classed by an IACS member as “Oil and Chemical Tanker” or “Product Carrier” which is constructed to carry oil or chemicals in its cargo spaces. Excluded from this are ships which are actively involved in the trade of chemicals as per MARPOL Annex II.

### BULK CARRIERS from 20,000 ton dwt and above

Definition of bulk carriers:

- 1) A ship classed by an IACS member as “Bulk Carrier” which is constructed generally with single deck, double bottom, hopper side tanks and with single or double side skin construction in cargo length area and intended primarily to carry dry cargoes in bulk. Excluded from this are ships designed and constructed as Oil Bulk Ore Carrier (OBO).
- 2) A ship classed by an IACS member as e.g. “General Cargo Carrier” which is constructed generally with single deck, double bottom, side tanks, open hatch, with single or double skin construction in cargo length area (vessel may be constructed with box-shaped holds) and constructed and classed to withstand the strengths to load / unload and carry dry cargoes in bulk.

### LNG CARRIERS (no DWT- threshold limitation)

Definition of LNG carrier:

A ship classed by an IACS member as “Gas Carrier” which is constructed solely to carry Liquefied Natural Gas (LNG) in bulk in its cargo spaces.

### CHEMICAL TANKERS from 2,000 ton dwt and above

Definition of chemical tanker:

A ship constructed for the carriage in bulk of any liquid product listed in chapter 17 of the International Bulk Chemical Code.

### CONTAINER CARRIER from 5,000 ton dwt and above

Definition of container carrier:

A ship constructed for the carriage of standard-sized containers only.

### LPG CARRIER from 20,000 m<sup>3</sup> and above

Definition of LPG carrier:

A cargo ship constructed or adopted and used for the carriage in bulk of any liquefied petroleum gas in a fully refrigerated cargo containment system with minimal total capacity of 20.000 m<sup>3</sup>.



## **Annex 2      Application Form**

The Green Award Application Form, as part of the Green Award Regulations, can be found on the Green Award website (<http://www.greenaward.org>). The Application Form can be utilised to initiate the certification process.

## **Annex 3      Green Award Requirements**

Detailed requirements are documented in a separate publication per different ship types in Annex 3 (please consult the latest requirements, which can be downloaded from <http://www.greenaward.org>):

- 3a      Green Award Requirements (Oil tanker)
- 3b      Green Award Requirements (Bulk carrier)  
          Green Award Requirements (Bulk carrier (General Cargo carrier))
- 3c      Green Award Requirements (LNG carrier)
- 3d      Green Award Requirements (Chemical tanker)
- 3e      Green Award Requirements (Container carrier)
- 3f      Green Award Requirements (LPG carrier)

## **Annex 4      Green Award Regulations Inland Shipping**

The Green Award Regulations for Inland Shipping provide all information about the Green Award scheme for inland shipping.

For practical reasons, these regulations are available separately.





## 8. ASSESSMENT CRITERIA

### 8.1 General information

By means of audits carried out at the owner's/manager's office and surveys carried out on the respective vessels, compliance with the requirements will be assessed. The Green Award auditors/surveyors will use risk assessment techniques to categorise the findings on the Basic requirements for both the office and vessel, and those from the visual inspection on board.

#### **List of reports, which will be sent to the applicant regarding the audit/survey results:**

- report on the course of the audit (time, persons, departments and findings);
- reports for each individual finding on the Basic requirements, Ranking requirements and Visual inspection. These reports will show the status of the finding (observation, non conformity or major non conformity for Basic requirements and Visual inspection items; Improvement Note for Ranking requirements).
- \* Checklist showing results with respect to the Basic requirements;
- \* Checklist showing results with respect to the Ranking requirements, and the total score obtained.

\* Only for Initial and Renewal ship surveys and office audits

Certification will be based on the results from both the Basic requirements and the Ranking requirements. On board the vessel, the visual inspection list is used to inspect the technical and physical condition of the vessel. Negative results from the visual inspection will be reported under findings for the Basic requirements.



**8.2 Assessment Criteria**

*Basic requirements and Visual inspection*

Norms	Maximum number of MNC, NC en Obs permitted		
	MNC <sup>1</sup>	NC <sup>2</sup>	Obs <sup>3</sup>
* ISM Code and Guidelines, 2010 Edition * SOLAS, Consolidated Edition 2009 * MARPOL, Consolidated Edition 2006 * STCW including 2010 Manila Amendments, 2011 Edition	0	4	10

**Abbreviations**

MNC Major Non Conformity  
 NC Non Conformity  
 Obs Observation

**For Initial and Subsequent Audits/Surveys**

<sup>1</sup> Certificate denied if MNC ≥ 1: re-office audit / re-ship survey required.

<sup>2</sup> Certificate granted if NC's ≤ 4 & if objective evidence is received within a time limit\* agreed by the Bureau Green Award and the auditee, that the NC's have been corrected. \*\*  
 Subsequent audits: certificate temporarily withdrawn if NC's > 4.  
 If NC's are corrected within a time limit\* agreed by the Bureau Green Award and the auditee, the certificate will be re-instated. \*\*

<sup>3</sup> Certificate granted if Obs ≤ 10 and if objective evidence is received within a time limit\* agreed by the Bureau Green Award and the auditee, that the Obs have been corrected. \*\*  
 Subsequent audits: certificate temporarily withdrawn if Obs > 10.  
 If Obs are corrected within a time limit\* agreed by the Bureau Green Award and the auditee, the certificate will be re-instated. \*\*

\* The agreed time limit will be based on a risk assessment of the findings and will not exceed one year.

\*\* If N/C and Obs are not corrected within the agreed time limits, findings classified as an observation can be upgraded to a non-conformity at the discretion of the surveyor/auditor.  
 Alternatively, the certificate can be temporarily or permanently withdrawn depending on the nature of the findings.

*Visual inspection*

Findings related to the visual inspection will be reported under the Basic Requirements. Based on the number and seriousness of the findings and a risk assessment thereof, the auditor may decide to stop the certification process. This will occur only after consultation with the Green Award head office. If the certification process is stopped, the owner/manager will be given the opportunity for a second opinion from either the local classification representative office or Port State Control.



## *Ranking requirements*

For Green Award certification, scores are to be achieved on a range of norms that reflect all aspects of a ship and ship operations. These norms are listed under the Green Award Ranking Checklists as General, Navigation/Bridge Operations, Machinery/Engine Operations, Cargo/Cargo Operations, Prevention of Pollution, Maintenance/Surveys, Crew and ISO 9001/2015. These norms are divided into specific elements, under which individual items are listed.

For each element a certain minimum score must be obtained and in order to be eligible for a Green Award Certificate, a minimum total score for the entire Ranking Checklist must be obtained.

If the minimum score is not obtained in any element an Improvement Note will be issued.

A Green Award Certificate will be granted or the Green Award certification will be endorsed (annual/intermediate ship surveys) if the number of Improvement Notes issued is  $\leq 4$  and if objective evidence for improvement on the findings is verified by Bureau Green Award within a time limit\* agreed by the Bureau Green Award and the auditee. This applies to Initial and Renewal office audits and Initial, Annual, Intermediate and Renewal ship surveys.

The implementation and effectiveness of the corrective action will be verified at the discretion of the Bureau Green Award in accordance with the Green Award Requirements. This will usually be done during the next office audit or ship survey or can be verified by documentary evidence within the agreed time limit.

\* = The agreed time limit will be based on a risk assessment of the findings and will not exceed one year.

The Green Award Foundation has the right to withdraw the certificate if findings are not corrected within one year or within the agreed time limit.